

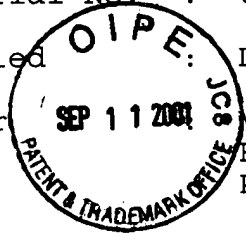
Attorney Docket No. 980689/HG

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant(s): Shinichi KURAKATA et al.

Serial No. : 09/212,556

Filed : December 16, 1998

For  METHODS AND COMPOSITIONS  
FOR THE TREATMENT AND  
PREVENTION OF TUMORS...

Art Unit : 1623

Examiner : Howard Owens, Jr.

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.56**

Assistant Commissioner for Patents  
Washington, D.C. 20231

S I R :

Submitted herewith are the following:

- (1) Copies of the cited publications and of the  
McCarthy Article discussed in the AMENDMENT filed  
concomitant herewith; and
- (2) Form PTO-1449A. It is requested that an initialled  
copy of the form PTO-1449A be returned to indicate  
that the publications listed therein have been  
considered and made of record.

**CERTIFICATE OF MAILING**

#18  
9-18-01  
*[Signature]*  
I hereby certify this  
correspondence is being  
deposited with the United  
States Postal Service as First  
Class mail in an envelope  
addressed to Assistant Commissioner  
for Patents, Washington, D.C. 20231  
on the date noted below.

*[Signature]*  
Attorney: Marshall J. Chick

Dated: September 7, 2001

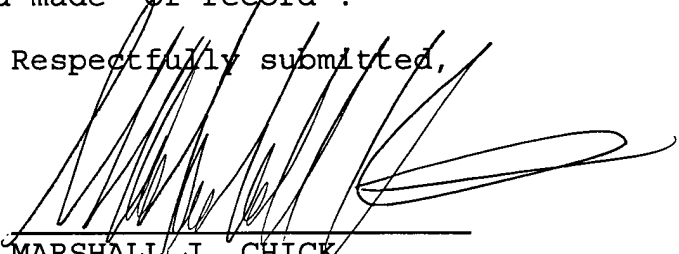
In the event that this Paper  
is late filed, and the  
necessary petition for  
extension of time is not filed  
concurrently herewith, please  
consider this as a Petition  
for the requisite extension of  
time, and to the extent not  
tendered by check attached  
hereto, authorization to  
charge the extension fee,  
or any other fee required  
in connection with this  
Paper, to Account No. 06-1378.

A check for the required fee of \$180.00 (37 CFR 1.17(p)) is enclosed. Authorization is given to charge any additional fees which may be required or to credit any overpayment to Deposit Account No. 06-1378.

It is therefore respectfully requested that the attached publications be considered and made "of record".

Frishauf, Holtz, Goodman,  
Langer & Chick, P.C.  
767 Third Ave., 25th Floor  
New York, NY 10017-2023  
Tel. No. (212) 319-4900  
Fax No.: (212) 319-5101  
MJC/ld

Respectfully submitted,



MARSHALL J. CHICK  
Reg. No. 26,853

Encs.: Form PTO-1449A and publications listed thereon  
Check \$180.00